

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR 1.97(b), (c), or (d)**

Docket No.  
2003-0266 (ATT/2003-0266)

In re Application of: **Siroos K. Afshar, et al.**

Serial No. <b>10/790,264</b>	Filing Date <b>March 1, 2004</b>	Examiner <b>Not Yet Assigned</b>	Group Art Unit <b>2661</b>
Title:	<b>METHOD AND APPARATUS FOR FUNCTIONAL ARCHITECTURE OF VOICE-OVER-IP SIP NETWORK BORDER ELEMENT</b>		

Address to:  
**Commissioner for Patents  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

**37 CFR 1.97(d)**

3.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

**Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)**

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782

**37 CFR §1.704(d)**

4.  Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

  
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Dated:

*6/27/07*

Electronically Submitted with USPTO on *6-28-07*

  
Signature of Submitting Correspondence

**Tara Carter**  
Typed or Printed Name of Person Mailing Correspondence

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<i>Application Number</i>	10/790,264
		<i>Filing Date</i>	March 1, 2004
		<i>First Named Inventor</i>	Siroos K. Afshar, et al.
		<i>Art Unit</i>	2661
		<i>Examiner Name</i>	Not Yet Assigned
<i>(Use as many sheets as necessary)</i>		<i>Attorney Docket Number</i>	2003-0266 (ATT/2003-0266)
Sheet	1	of	2

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2 of 2

**Complete if Known**

Application Number	10/790,264
Filing Date	March 1, 2004
First Named Inventor	Siroos K. Afshar, et al.
Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket Number	2003-0266 (ATT/2003-0266)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Copy of EP Search Report for EP-04270007, copy consists of 4 unnumbered pages	
	C2	"VoIP" AT&T Point of View; "Common VoIP Architecture", December 22, 2003 XP002407957	
	C3	Anquetil L-P., et al., "Media Gateway Control Protocol and Voice Over IP Gateways - MGCP and VoIP gateways will offer seamless interworking of new VoIP networks with today's telephone networks" Electrical Communication, Alcatel, Brussels, BE, 1 April 1999, pages 151-157	

Examiner Signature	Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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